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International application number: PCT/US05/003183

International filing date: 28 January 2005 (28.01.2005)

Document type: Certified copy of priority document

Document details: Country/Office: US

Number: 60/540,688

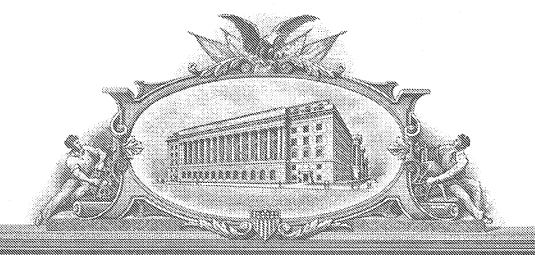
Filing date: 30 January 2004 (30.01.2004)

Date of receipt at the International Bureau: 09 May 2005 (09.05.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)





'4'(d) Anil (100) Vancoda (na 12812; preus ben'ins; salandi, codias:

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APPLICATION NUMBER: 60/540,688 FILING DATE: January 30, 2004

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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c). Express Mail Label No.

		INVENTO	K(S)				2
Given Name (first and m	iddle [if any])	Family Name or Surname)	(City a	nd either	Residence r State or Foreign Count	ν)
John P.	*	TOSCANO	-			ore, us	-7/
Preeya		Kapul		4	- •	ick no	
Additional inventors are	being named on the		_separately num	nbered sheets a			
	TIT	LE OF THE INVENTION	(500 characte	ers max)			
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OR .							
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	ENCLO	SED APPLICATION PAI	RTS (check al	l that apply)			
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Applicant claims s	mall entity status. See	37 CFR 1.27.			FILIN	IG FEE	
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USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT

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This collection of information is required by 37 CFR 1.51. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Provisional Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NEW NITROXYL DONORS

by

John P. Toscano

and

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NOVEL NITROXYL DONORS

This invention was made with Government support under gm-58109 awarded by the PHS. The Government has certain rights in the invention.

All references cited in this provisional patent application are herein incorporated by reference, each in its respective entirety.

This form is to be completed and submitted to the JHU office of Licensing and Technology Development (LTD) by anyone who believes they have developed a new invention. The purpose of this form is to enable LTD to evaluate whether legal protection to the invention will be sought and/or commercialization pursued. Please submit this form with all inventor(s) and Department Director(s) signatures. Visit the LTD web site at http://jhu.edu/technology/roi.html for HTML and Word downloadable formats of this form.

INVENTION INFORMATION
Title of Invention: [Title should be sufficiently descriptive to identify the invention yet not reveal unique unpublished details.]
: New Nitroxyl Donors
Name of Lead Inventor: Toscano, John P., Ph.D.
Last First Middle Degree
Lead Inventor Information: [The Lead Inventor is the primary contact person for LTD on all matters associated with this Report of Invention, including processing, patent prosecution and licensing. For reasons of administrative efficiency, it is the responsibility of the Lead Inventor to keep all other JHU inventors named on this Report of Invention informed of the status of such matters.]
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Additional inventors: Yes No If yes, please complete Additional Inventors section for each inventor.
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JHn Rf: 4390

ADDITIONAL INVENTION INFORMATION

Please copy this page for additional inventors as necessary

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	Page 2	4	JHU REF: 4	390

INVENTION DESCRIPTION
Describe the invention completely, using the outline given below. Please provide an electronic copy of the invention disclosure document, references, and abstracts in Windows format on CD-ROM or floppy disk if possible
 Marketing Summary [Please provide a non-confidential summary of the invention that can be used for marketing purposes. Unique details that are published may also be included.]
New nitroxyl (NO/HNO) donors have been developed based on diazen-1-ium-1,2-diolate derivatives (R ¹ R ² N[N(O)=NO]Na). Such derivatives normally decompose under physiologically relevant conditions to amine (R ¹ R ² NH) and nitric oxide (NO). These newly developed derivatives, however, give nitrosamine (R ¹ R ² NN=O) and nitroxyl. These new nitroxyl precursors have been shown to have analogous effects in the treatment of heart failure as has previously been observed with the established nitroxyl donor Angeli's salt.
SOFTWARE -Does this disclosure include a software element or software is implemented in the invention Yes No
If yes, please complete the Software Information Form which can be found at: BIOLOGICAL MATERIAL – Does this disclosure include biological material, If yes, please attach a list of materials for reference. A Tangible Property Report of Invention form may be completed if the disclosure is biological materials only. You can find this form at: http://www.hopkinsmedicine.org/lbd/otl/
2. Problem Solved [Describe the problem solved by this invention]
Most importantly, these new nitroxyl precursors are novel compounds. In addition, almost all previous physiological studies probing the effects of nitroxyl have used Angeli's salt, which decomposes with a half-life of approximately 2 minutes. A potential reaction pathway for nitroxyl is dimerization to provide ultimately nitrous oxide (N_2O) and water. Because this second-order reaction is dependent on the local concentration of nitroxyl, the rate at which nitroxyl is produced determines what portion of it is available for other chemistry, i.e., faster decomposition rates lead to more dimerization. Our newly developed compounds have half-lives of approximately 12 minutes. Moreover, this half-life may potentially be varied by changing R^1 and/or R^2 . Thus, studies with these new precursors (and analogous derivatives) will help to determine if biological responses due to nitroxyl can be enhanced (or retarded) by its delivery

rate.

3. Novelty [Identify those elements of the invention that are new when compared to the current state of the art]
The compounds themselves are novel.
4. Potential Commercial Use – [What products can be produced with this invention.]
The administration of a nitroxyl-donating compound either alone, in combination with a positive inotropic agent, or to a subject receiving beta-antagonist therapy can be used to treat heart failure of all classifications. In particular, a nitroxyl-donating compound can be used to treat early-stage chronic heart failure, such as Class II heart failure. Potentially, nitroxyl-donating compounds can be used also in subjects suffering from hypertension.
5. Commercialization - List any companies that you feel may be interested in this technology or are doing similar research. Indicate how the invention complements the company's existing technology. If known, provide the names of any companies (and a contact person) that have contacted you regarding your research related to the invention.
No company interest known at this time.
Page 4 JHU REF: 4390

JHn Ref; 4390

Keywords - Please circle th	e categories and keywords the	at accurately describe the pres	sent invention.
CHEMICAL	GENOMICS	Immunoassay	Pro-drug
Additives	Allele	Label	Proteins
Alternative Energy	Bioinformatic	PCR	Small Molecule
Antioxidants	cDNA	Protein Sequencing	Tissue Engineering
Batteries	Epidemiology	Protein Synthesis	Transplant
Catalyst	EST	Reagent	Vaccine
Coal Conversion	Gene	Spectroscopy	Virus
Coatings	Homologue	Tissue Culture	Wound Healing
Effluent Treatment	Isogene	Vector	DISEASES
Ellastimers	Library		Aging
Electrochemistry	Mutation	SCREENING	Blood
Exhaust Treatment	Pharmacogenomics		Cancer
Foams	Polymorphism	Assay	X Cardiovascular
Food Chemistry	Positional Cloning	Biochip Combinatorial Biology	Dermatologic
Fuel Cells	Proteomics	Combinatorial Chemistry	Endocrine
Gas Conversion	Receptor	Detection	Gastrointestinal
Gels	RNA	HTS	Genitourinary
Monomers	Target Validation	Phage Display	Hepatic
Oxidation		Screen	Immune
Petroleum	- CONTROL NOTIFICE	1 	Infectious
Photochemistry	MEDICAL DEVICE	Target	Metabolic
Polymers	Delivery	THERAPEUTIC	Musculoskeletal
Remediation	Diagnosis	The state of the s	Neurological
Solvents	Imaging	Analgesic Anesthetic	ObGyn
50!************************************	Measurement	1 ******	Ophthalmological
	Optical	Angiogenesis Antibiotic	Oral
DIAGNOSTIC	Safety	Antibody	Pediatric
Antibody	Surgical	Antifungal	Psychiatric
Assay	X Treatment	Antiinflammatory	Respiratory
Biochip		Antisense	ADDITIONAL KEY WORDS:
Contrast Agent	RESEARCH TOOL	Antiviral	
Detection	Animal Model	Apoptosis	
DNA Probe	Antibody	Cell Signaling	
Elisa	Cell Line	Cell Therapy	
Imaging	Culture	Disease Model	
Immunoassay	Directed Evolution	X Drug Delivery	
In Situ	DNA Probe	X Drug Design	
Marker	DNA/RNA Sequencing	Fertility	STAGE OF
Measurement	DNA/RNA Synthesis	Gene Therapy	DEVELOPMENT
MRI	Electrophoresis	Hormone	Unspecified
Point of Use	Elisa	Immunotherapy	Discovery
Radioisotope	Enzyme	Natural Product	Preclinical
Transgenic	Equipment Surface		
Ultrasound	Expression System	Peptides	Prototype
			Phase I
			Phase II
			Phase III
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JHU REF: 4390

Detailed Description of the Invention

Compounds containing the diazenium diolate [N(O)=NO] functional group have proven useful as research tools in a variety of applications requiring spontaneous release of nitric oxide (NO). Anions such as 1-(N,N-dialkylamino) diazen-1-ium-1,2-diolates 1 (where R is alkyl) are stable as solid salts, but release up to 2 mol of NO when dissolved in aqueous solution at physiologically relevant conditions.

$$R_2N$$
 $N > 0$ $pH 7.4, 37 °C$ $R_2NH + 2 NO$

The formation of such compounds by the reaction of NO with nucleophiles such as amines has been known since the 1960's. 2-5 More recently, Keefer and co-workers have shown that the rate of NO release can be varied by modifying the substituents R, pH, or temperature, and have developed anions with half-lives in aqueous buffer at pH 7.4 and 37 °C ranging from two seconds to 20 hours. In addition, diazeniumdiolate solution half-lives tend to correlate very well with their pharmacological durations of action, suggesting that they are minimally affected by metabolism. These compounds have shown great potential in a variety of medical applications requiring either the rapid production or gradual release of NO,6.7 and have allowed biological consequences of NO delivery rates to be probed.

A major factor affecting decomposition rate is ease of protonation at the amine nitrogen leading to amine and 2 equivalents of NO:

We reasoned that if protonation at this site was made very unfavorable that an alternate decomposition pathway to nitrosamine and nitroxyl (NO/HNO) may become available:

Thus, we observe completely different decomposition products for the related N-methylaniline derivatives 2 with X = H or CN. For the parent compound 2 (X = H) we observe the normal decomposition to amine and NO with a half-life of approximately 4 minutes at pH 7.4 and 37 °C. With an electron-withdrawing substituent, however, protonation at the aniline nitrogen becomes very unfavorable and decomposition to nitrosamine and nitroxyl, with a half-life of approximately 12 minutes at pH 7.4 and 37 °C, is observed for 2 (X = CN).

Me NH
$$+ 2 NO$$
 Compound A

Me NH $+ 2 NO$ Compound B

Each of these compounds has been tested for their effects on cardiac function in canine models. In agreement with the observed products, 2 (X = H) behaves as an NO-donor, whereas 2 (X = CN) behaves as a nitroxyl-donor. We believe that compound 2 (X = CN) and analogous derivatives (described in the following Workable Extent/Scope section) have great potential in the treatment of heart failure.

Synthetic Procedure: Compounds 2 were prepared by treating a solution of the appropriate N-methylaniline derivative (1 g) in methanol (5 mL) with one equivalent of sodium methoxide (25 % w/w in methanol) in a standard Parr hydrogenation bottle. The reaction vessel was purged with nitrogen and then saturated with excess NO. The reaction was allowed to stir at room temperature for 48 hours during which time the pressure of NO gas was maintained at approximately 40 psi. The product was isolated by filtration and washed with ethyl ether and dried under vacuum. Half-lives were determined by UV-Vis spectroscopy at 37 °C in pH 7.4 phosphate buffer. NO was detected electrochemically using an iNO Measuring System with an amino 700 probe (Innovative Instruments). Nitroxyl was measured by trapping with methemoglobin as has been described in the literature.

Workable Extent/Scope

Our results obtained to date are easily extendable to related derivatives that can be expected to follow the same decomposition pathway to nitrosamine and nitroxyl. Obvious examples are listed below. Another issue that will require further research is related to the nitrosamine byproduct. Although many nitrosamines are carcinogenic, the extent of carcinogenicity can be greatly reduced or eliminated by blocking sites for enzymatic hydroxylation, the key activation step leading to subsequent DNA alkylation (e.g., by substitution at the carbon alpha to the N-nitroso functionality or by carboxylic acid substitution). The toxicity of the nitrosamine derived from 2 (X = CN) is not yet known, but it is not expected to be high based on related nitrosamines that have been reported in the literature.

Other N-Methylaniline Derivatives

where R is H, a primary, secondary, or tertiary alkyl group, or an aromatic group; X is an electron-withdrawing substituent (e.g., halogen, CN, NO₂, CO₂H, CO₂R, CF₃); Z is H, an alkyl group, or an electron-withdrawing substituent (e.g., halogen, CN, NO₂, CO₂H, CO₂R, CF₃); Y is H or CO₂H.

Other Proline Derivatives

(N-nitrosoproline is known to be non-carcinogenic.)

where X is a halogen and Y is an H or halogen.

Other Diethylamine Derivatives

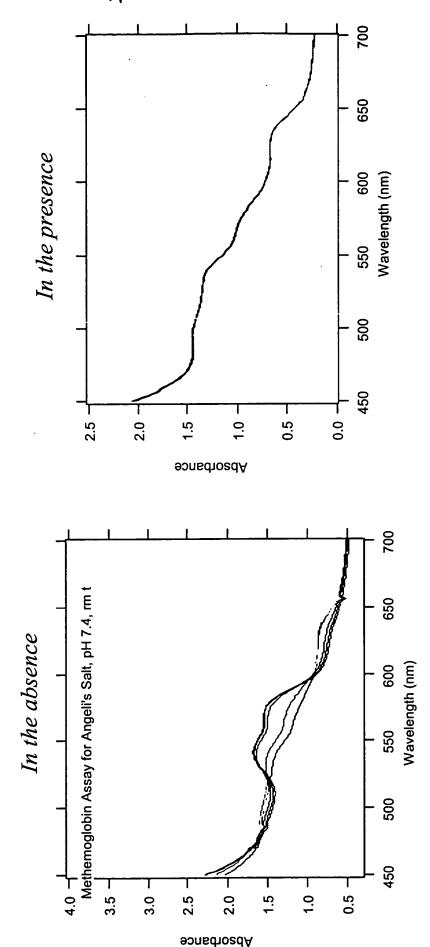
where R is an H or alkyl group and X is an electron-withdrawing group (e.g., halogen, CN, NO_2 , CO_2H , CO_2R , CF_3).

References

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- (2) Drago, R. S.; Karstetter, B. R. J. Am. Chem. Soc. 1960, 83, 1819-1822.
- (3) Drago, R. S.; Paulik, F. E. J. Am. Chem. Soc. 1960, 82, 96-98.
- (4) Drago, R. S.; Ragsdale, R. O.; Eyman, D. P. J. Am. Chem. Soc. 1961, 83, 4337-4339.
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- (6) Keefer, L. K. Annu. Rev. Pharmacol. Toxicol. 2003, 43, 585-607.
- (7) Saavedra, J. E.; Fitzhugh, A. L.; Keefer, L. K. Nitric Oxide and the Cardiovascular System 2000, 431-446.
- (8) Mooradian, D. L.; Hutsell, T. C.; Keefer, L. K. J. Cardiovasc. Pharmacol. 1995, 25, 674-678.
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- (10) Lijinsky, W. Chemistry and Biology of N-Nitroso Compounds, Cambridge University Press: Cambridge, UK, 1992.
- (11) (a) Guo Z.; McGill A.; Yu L.; Li, J.; Ramirez, J.; Wang P. G. Bioorg. Med. Chem. Lett 1996, 6, 573-578. (b) Guo Z.; Xian M.; Zang, W.; McGill A.; Wang P. G. Bioorg. Med. Chem. Lett. 2001, 9, 99-106.

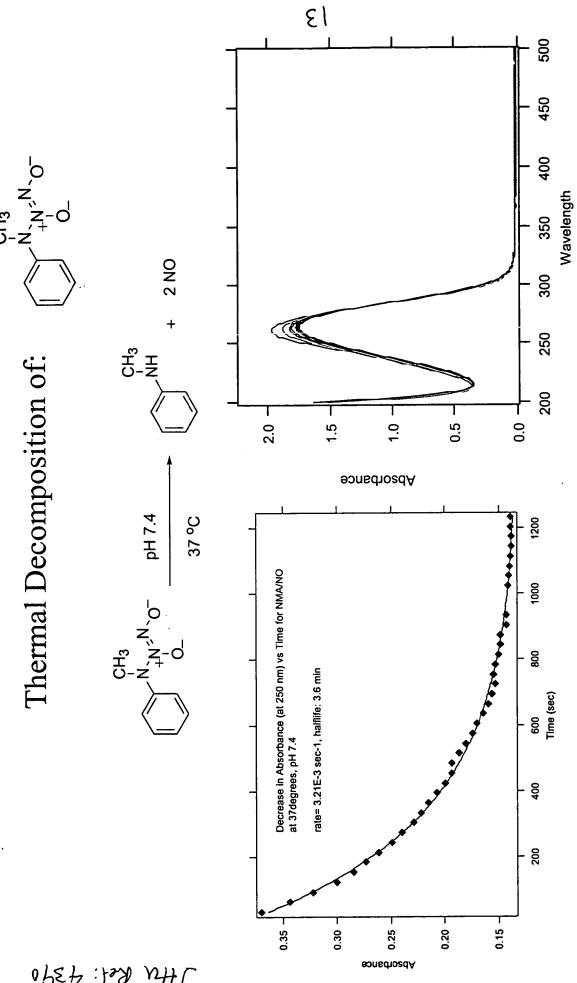
Quenching Methemoglobin Assays with Glutathione for Angeli's Salt

Glutathione reacts with HNO faster than Fe(III) reacts with HNO, therefore it is a good indicator of whether or not the Fe(II)-NO signal (seen on the left) is from HNO or some other reaction pathway. Loss of any growth around the 520-580 nm (seen on the right) region indicates quenching of the reaction



(left), 50uM Methemoglobin, 100 uM HNO donor, pH 7.4 50mM phosphate buffer; (right) same with added 1mM glutathione

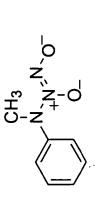
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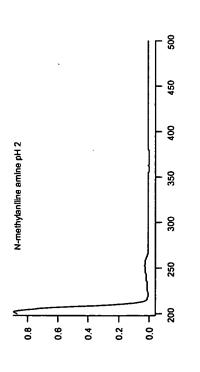


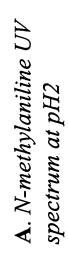
absorbance of NO donor). (right): spectral data of the decay taken over a period of 1 hour. (left): Kinetics of decomposition at 37 degrees C, pH7.4, monitored at 250 nm (max

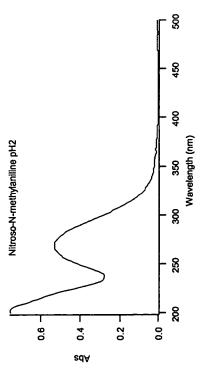
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Decomposition Assay of

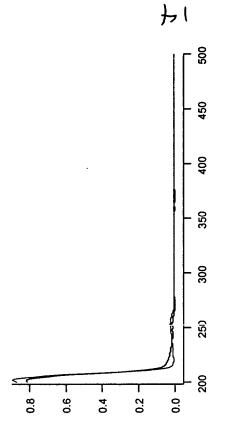








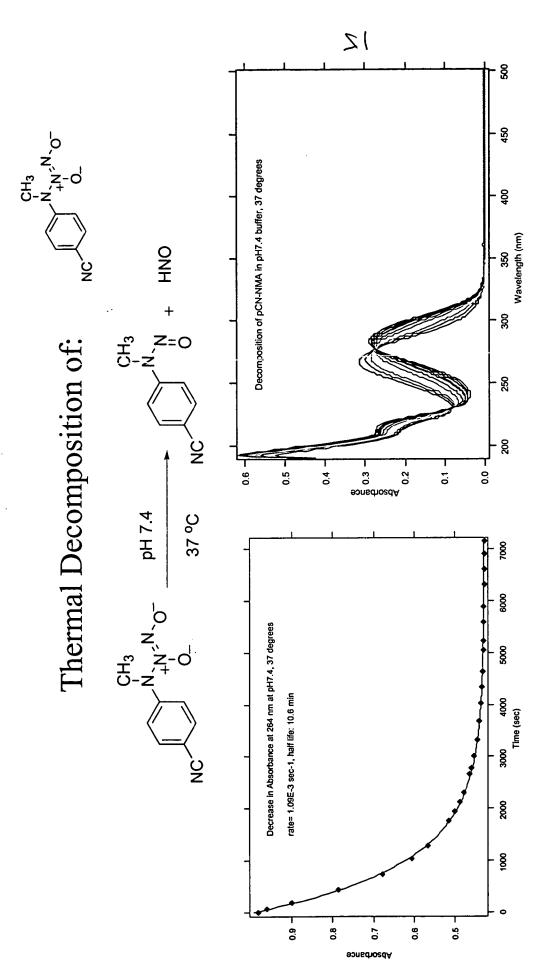
B. N-Nitroso-N-methylaniline UV spectrum at pH2,



C. Product of Decomposition at pH2 in an anaerobic environment. In red is the overlay of N-methyl aniline

UV spectrum at pH2.

This assay shows that no nitrosamine is formed during decomposition, nitrosamine is a product of the nitrosamine/HNO complexes, not amine/NO complexes under these conditions.



(left): Kinetics of decomposition at 37 degrees C, pH7.4, monitored at 264 nm (max absorbance of HNO donor). (right): spectral data of the decay taken over a period of 2 hours.

Fe(III) + HNO — Fe(II)-NO

Spectral Monitoring of Hb⁺ binding to HNO

Kinetics of Hb⁺ binding to HNO

0.0 0.5 5.0 5. sdA 10×10 Time (sec) 1.15 1.10 0.90 0.85 1.00 0.95 0.80 1.05 **sdA**

71

(left): Kinetics of Fe(II)-NO production at pH7.4, monitored at 572 nm, concentration of

650

Wavelength (nm)

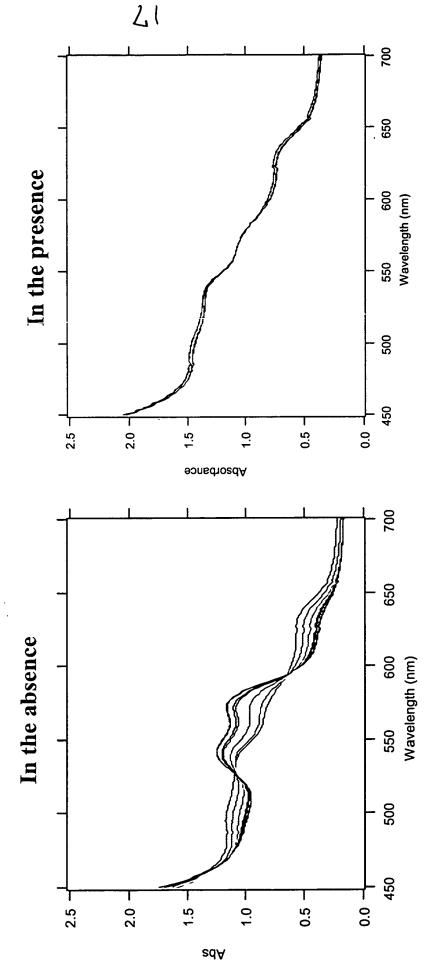
500

 $(E=13,000\ M^{1}cm^{-1})$ is equal to 1 eq of HNO (right): spectral data taken over a period of HNO donor: 100 uM and Methemoglobin 50 uM; The change in absorbance at 572 nm

2 hours.

7HN R.F. 4390

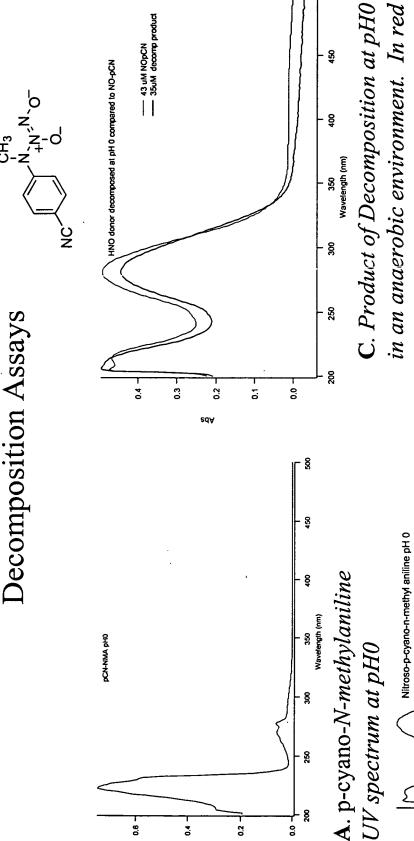
Glutathione reacts with HNO faster than Fe(III) reacts with HNO, therefore it is a good indicator of whether or not the Fe(II)-NO signal (seen on the left) is from HNO or some other reaction pathway. Loss of any growth around the 520-580 nm (seen on the right) region indicates quenching of the reaction



(left), 50uM Methemoglobin, 100 uM HNO donor, pH 7.4 50mM phosphate buffer; (right) same with added ImM glutathione

J.Hr Ust: 4321





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is the overlay of p-cyano-N-nitroso-

0.4

0.3

sdA

0.5

N-methyl aniline UV spectrum at

pH0.



200

0.0

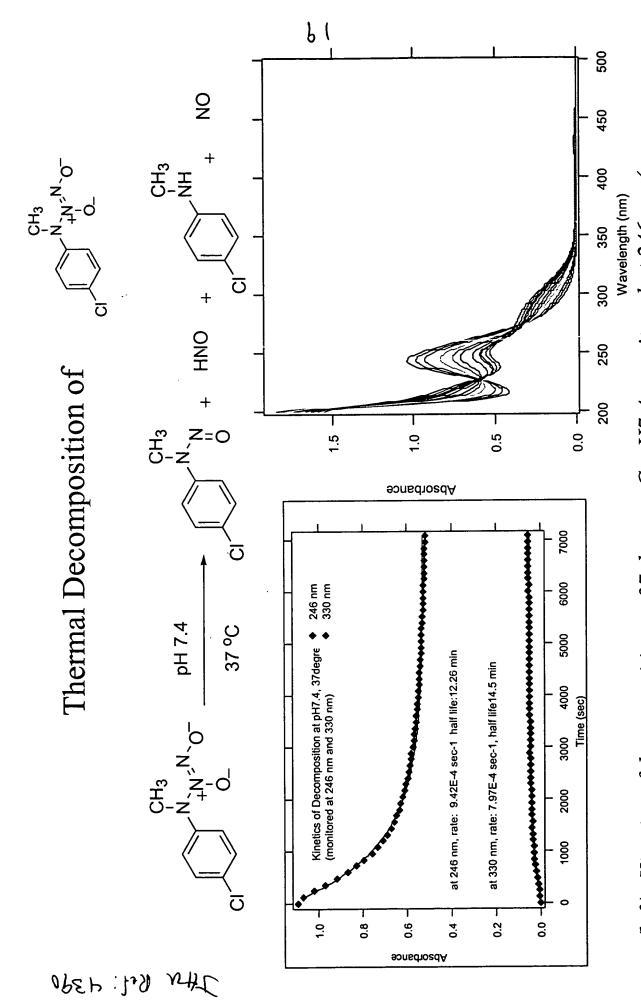
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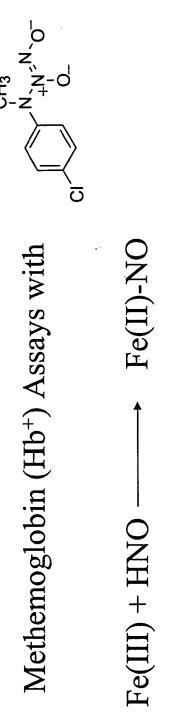
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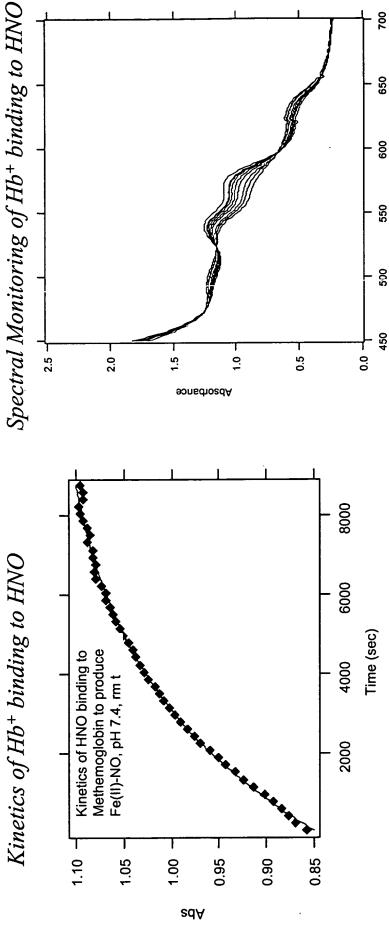
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absorbance of HNO/NO donor). (right): spectral data of the decay taken over a period of 2 (left): Kinetics of decomposition at 37 degrees C, pH7.4, monitored at 246 nm (max hours.







02

 $(E=13,000\ M^{-1}cm^{-1})$ is equal to .63 eq of HNO (right): spectral data taken over a period (left): Kinetics of Fe(II)-NO production at pH7.4, monitored at 572 nm, concentration of HNO donor: 100 uM and Methemoglobin 50 uM. The change in absorbance at 572 nm

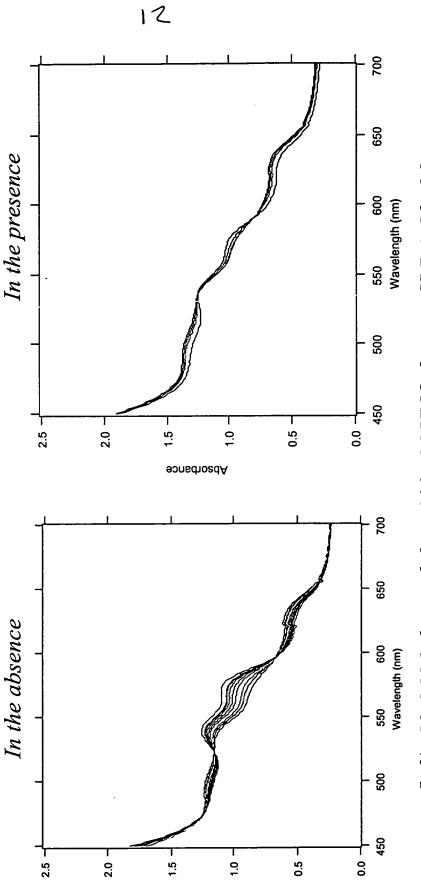
650

Wavelength (nm)

Quenching Methemoglobin Assays with Glutathione

D-Z Z, Z-O

Angeli's Salt is a known HNO donor that releases one equivalent of HNO per molecule.



Absorbance

(left), 50uM Methemoglobin, 100 uM HNO donor, pH 7.4, 50mM phosphate buffer; (right) same with added ImM glusathione

JHN Ref. 4360

Dog preparation and methods:

Male mongrel dogs (20 to 30 kg) were anesthetized with 1-2% Isoflurane after induction with sodium pentothal. The chest was opened via a lateral thoracotomy, and indwelling catheters (Tygon; Norton Plastics and Synthetic Division) secured in the right atrium (for drug infusion) and in the descending aorta (for pressure measurement). An indwelling high-fidelity micromanometer (P22, Konigsberg Instruments) was placed in the left ventricle (LV) through an apical stab. Two endocardial sonomicrometer crystals were placed at the cardiac base - from which a left ventricular antero-posterior internal dimension was generated. A coronary flow probe (Transonic) was placed at the proximal left circumflex coronary artery to measure coronary flow velocity. A pneumatic occluder was placed around the IVC to allow preload reduction for assessing PV relations. Pacing leads were attached to the left atrium for acute pacing during experimentation. After the chest was closed, catheters and leads were externalized to the midscapulae and protected by an external jacket. Analgesia (buprenorphine 0.3 mg/kg every 12 hours) was given in the immediate postoperative period as necessary, and antibiotics administered for the first 72 hr post-operative period. Dogs were allowed 10 days for recover prior to studies.

Studies were performed with animals supported in a sling apparatus, conscious, with all sensors connected to signal processors and custom software for displaying real-time pressure-dimension data. Hemodynamic measurements were performed at the constant atrial pacing rate (140 beats per minute). To identify the role of baroreflex activation, 10% (wt/vol) dextran was rapidly infused to restore chamber loading to baseline. Chronic heart failure (CHF) was induced by chronic rapid ventricular pacing at a rate of 210 beats per minute for 3 weeks followed by 240 beats per minute for 1 week.

Results:

In control dog. Compound A and Compound B were administrated to a healthy control dog at the dose of $2.5\mu g/kg/min$. Table 1 shows the summary data. Both Compound A and Compound B increased load-independent contractility indexes (End-systolic elastance; Ees, +25.2% and +109.6%, respectively), and reduced preload (end-diastolic dimension, EDD; -11.1% and -12.9%, respectively) and afterload (total resistance, RT; -24.0% and -15.1%, respectively). But after volume loading, Compound A had no effect on myocardial contractility, while Compound B still enhanced contractility (Ees; -14.4% and +45.4%, respectively).

In CHF dog. Figure 1 shows representative P-D loops in a CHF hearts with compound B administration $(1.25\mu g/kg/min)$ and volume restoration. EDD and systolic pressure both declined, whereas Ees was enhanced, denoted by its left shift and higher slope (middle). Even after EDD and systolic pressure was restored by volume loading, Ees was still enhanced (bottom). Table 2 provides summary data. Compound B reduced pre-load (EDD; -9.9%) and after-load (RT; -26.1%), and enhanced contractility (Ees; +70.6%). Positive inotropic effect was still observed (Ees; +33.5%) after volume restoration (EDD; -2.2%, end-systolic pressure; -4.6%).

THU Res: 4390

Table 1. Cardiovascular effects in control dog.

	Comound A(2.5µg/kg/min)			Comound B (2.5µg/kg/min)		
	before	after	+ volume loading	before	after	+ volume loading
Ees (mmHg/mm)	11.6	14.5	9.9	8.5	17.9	12.4
Tau (msec)	34.4	31.6	32.0	38.5	30.4	33.9
LVEDD (mm)	31.1	27.7	30.7	32.5	28.3	31.6
LVESD (mm)	23.6	20.7	22.3	23.4	20.0	21.6
LVESP (mmHg)	137.4	96.3	118.4	137.4	107.9	123.9
LVEDP (mmHg)	5.5	2.6	5.5	9.9	5.7	5.3
RT (mmHg/mm/sec)	7.3	5.6	5.6	6.1	5.2	5.0

Ees, end-systolic elastance; D_{EDD}, dP/dt-end-diastolic dimension relation; PRSW, prerecruitable stroke work; LVEDD, left ventricular end-diastolic dimension; LVESD, left ventricular end-systolic dimension; LVESP, left ventricular end-systolic pressure; LVEDP, left ventricular end-diastolic pressure; RT, total resistance.

Table 2. Compound B induced changes in control and CHF dog.

	Control		CHF	
	Comound B (2.5µg/kg/min)	+ volume loading	Comound B (1.25µg/kg/min)	+ volume loading
Ees (mmHg/mm)	+109.6%	+45.4%	+70.6%	+33.5%
Tau (msec)	-21.0%	-12.0%	-21.5%	-19.7%
LVEDD (mm)	-12.9%	-2.7%	-9 .9%	-2.2%
LVESD (mm)	-14.3%	-7.4%	-11.5%	-6.5%
LVESP (mmHg)	-21.5%	-12.0%	-18.6%	4.6%
LVEDP (mmHg)	-36.8%	-8.4%	-44.4%	-9.2%
RT (mmHg/mm/sec)	-15.1%	-18.7%	-26.1%	-35.6%

Ees, end-systolic elastance; D_{EDD}, dP/dt-end-diastolic dimension relation; PRSW, prerecruitable stroke work; LVEDD, left ventricular end-diastolic dimension; LVESD, left ventricular end-systolic dimension; LVESP, left ventricular end-systolic pressure; LVEDP, left ventricular end-diastolic pressure; RT, total resistance.

Figure 1

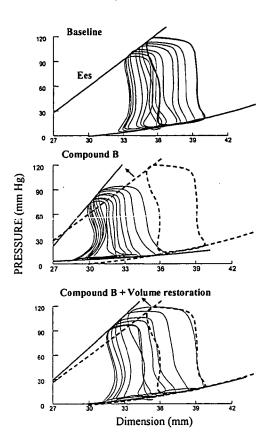


Figure 1 demonstrates efficacy of new HNO donor in the *in vivo* canine heart. The top panels display pressure-dimension loops and relations under baseline conditions. Upper line reflects contractile function. The middle panel displays results of infusion of the new HNO donor (Compound B) in the same animal. The leftward shift of the end-systolic pressure-dimension relation (line, upper left of loops) indicates positive contractile effect. This was accompanied by a decline in chamber preload volume (i.e. venodilation) (loops shift leftward as well). To minimize this effect, we infused volume to the animal restoring preload volume to the baseline level (lower panel). There is still a clear increase in contractile function (arrow) with Compound B. Thus, the new compound is a positive inotrope and venodilator in the conscious dog.